

**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)**

**Attny. Docket No.
2002B107A**

In Re Application of: Chon-Yie Lin, et al.



**U.S. Serial No.
10/634,351**

**Filing Date
August 04, 2003**

**Examiner
Rip A. Lee**

**Group Art Unit
1713**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR § 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or

the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

Legible copies of the references are enclosed.

This application is a:

- Continuation / Divisional,
- Continuation-in-Part,

of U.S.S.N. . Copies of the cited references are
 enclosed; not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712.

10/10/2006

Date

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Chon-Yie Lin et al.

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Filing Date

August 4, 2003

Examiner

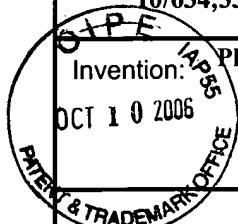
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INFORMATION DISCLOSURE STATEMENT

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2002B107A	10/634,351
APPLICANT	
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August 4, 2003	1713

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	3,828,105	08/06/1974	Saurano et al.			
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AAN	2004/0116515	06/17/2004	Anderson et al.			
AAO	2004/0186214	09/23/2004	Li et al.			

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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2005/0148720	07/07/2005	Li et al.			
	AB	2006/0008643	01/12/2006	Lin et al.			
	AC	2006/0079617	04/13/2006	Kappes et al.			
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	AG	DE 1769723	02.10.72	Germany w/abstract				
	AH	EP 0 210 733	04.02.87	Europe				
	AI	EP 0 315 481	10.08.89	Europe				
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	AQ	EP 0 716 124	12.06.96	Europe				
	AR	EP 0 755 970	29.01.97	Europe				
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	AAC	JP 04 214709	08.05.92	Japan w/abstract				
	AAD	JP 09-077901	03.25.97	Japan w/ abstract				
	AAE	JP 34 74677	07.01.97	Japan w/abstract				
	AAF	JP 2001/106628	17.04.01	Japan w/abstract				
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	AAH	WO 91/18045	28.11.91	PCT				
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	AAK	WO 02/030194	18.04.02	PCT				
	AAL	WO 02/100153	19.12.02	PCT				

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		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AA	WO 04/009699	29.01.04	PCT				
	AB	WO 04/014998	19.02.04	PCT				
	AC	WO 05/080495	01.09.05	PCT				
	AD	WO 06/06346	19.01.06	PCT w/abstract				

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	AJ	Rudnick, L. et al.; "Synthetic Lubricants and High-Performance Functional Fluids", Marcel Dekker, Inc., pp. 409-411, 1999.

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